STEVEN A. SHAPIRO, ESQ.

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MEDIATOR & ARBITRATOR & LEADERSHIP COACH: ENERGY, TELECOMMUNICATIONS & COMMERCIAL MATTERS

Accomplished mediator and arbitrator admitted to practice law before the Court of Appeals in the State of Maryland, District of Columbia, and the Supreme Court of the United States with 30+ years of success providing expertise, leadership, and coaching across energy and telecommunications sectors.

Energy Dispute Resolution ■ Alternative Dispute Resolution [ADR] ■ Commercial Mediation ■ Arbitration Public Policy Negotiation ■ Client Relationship Development ■ Communication ■ Executive Engagement

CAREER HIGHLIGHTS

- Over three decades of success providing mediation, arbitration, and other alternative problem-solving solutions for hundreds of cases as a Federal Mediator and State Certified Mediator throughout the State of Maryland.
- Appointed Mediator and Arbitrator by the Department of Justice [DOJ] to provide alternative dispute resolution services **for** personal injury and wrongful death claimants for the Special Master's Office, September 11, 2001 Fund.
- Selection to serve as member of the American Bar Association Task Force to develop national guidance on mediator and agency confidentiality in federal disputes.
- Guest lecturer and professor at the National Law Center, George Washington University, and The Catholic University School of Law on ADR, Principal Based Negotiations, Mediator Ethics and Confidentiality, and Multiparty Mediation.
- Go-to resource, trusted advisor, and expert responsible for training hundreds of federal and utility employees on principal-based negotiation and mediation advocacy skills.
- Panel member with the American Arbitration Association [AAA] Roster of Commercial Mediators and Arbitrators, the International Center for Conflict Prevention and Resolution [CPR], Cornell University, Creative Dispute Resolution, PJM Interconnection, ISO New England, New York ISO, Midwest ISO, and California ISO.

PROFESSIONAL EXPERIENCE

RESOLUTION MATTERS, INC. [RMI]:

2008-Present

Dispute resolution and mediation firm serving government entities, energy companies, regulators, regional transmission and independent system operators, project developers, financing entities, off-takers, and municipal governments.

Founder & President

Receives appointments as Arbitrator and Mediator panelist for the International Center for Conflict Prevention and Resolution [CPR], the American Arbitration Association [AAA], the North American Reliability Organization, Regional Transmission and Independent System Organizations, and Maryland State Courts.

- Department of Justice Office of Administrative Litigation, Special Master's Office, September 11, 2001 Fund: Resolved claims for personal injury and wrongful death FDNY and NYPD claimants, recommending economic and non-economic loss compensation awards to Special Master to compensate victims.
- **Chairman American Arbitration Association:** Chaired a large-scale commercial arbitration concerning breach of contract for non-performance and failure to pay for a \$3M turbine installation in Illinois.
- ADR Neutral, Mediator & Arbitrator Commercial Contract Disputes: Provided services to major clients, including Shell North America, State of California, Navajo Tribal Utility, Midwest Independent System Operators, New York Independent System Operators, Pacific Gas & Electric, Constellation Energy, BP Trading, and BP Wind.
- **General Counsel & COO Energy Dynamics:** Provided entre for Israeli-American startup by introducing FERC, State of Maryland PUC, and local green markets in partnership with Clean Tech Open, a Silicon Valley-sponsored program.
- Regulatory Counsel Abengoa Solar, Inc: Worked with the Senior Principal Engineer on a \$2B infrastructure project, advising on matters involving FERC, Department of Interior, Department of Energy and Treasury, and the Solar Energy Industry Association, resulting in the installation of a 600MW trough and tower project in Arizona and California.
- Environmental Public Policy Mediator State of Maryland: Appointed by the Chief Justice, Court of Appeals and the Maryland Office of Conflict Resolution to mediate a joint collaborative effort between senior officials from the University of Maryland Hospital, Bon Secours Hospital, St. Agnes Medical Center, and 15 other community health provider organizations, resulting in the development of a corporate structure, bylaws, and a \$5M grant.

- Mediator/Facilitator US Environmental Protection Agency [EPA]: Facilitated among Federal, State, and Local
 government agencies, Chambers of Commerce, environmental NGOs, businesses, and individuals to lead a
 blueprint for PCB removal with minimal impacts to the Berkshire Mountain communities, including re-allocating
 property rights back to the City and Forest Trust post-completion of a US Federal consent decree.
- **Partner Certus Strategies US, LLC:** Worked with a joint Canadian/US owned energy ADR firm, providing facilitation services to local townships, communities, and gas fracking companies to establish environmental mitigation.
- Facilitator Environmental Protection Agency [EPA]: Worked under an ADR contract to design and implement a community-wide visioning and stakeholder involvement program as part of a \$100M+ Superfund Clean Up site in Western Massachusetts, including comprehensive assessment involving EPA and industry scientists.

FEDERAL ENERGY REGULATORY COMMISSION [FERC]:

1990-2008

Attorney Advisor, ADR Specialist, Settlement Counsel/Mediator

Brought on to serve in a critical role in the Office of Administrative Litigation and Dispute Resolution, providing mediation and ADR services to energy providers involved in B2B disputes in a newly deregulated energy industry.

- Appointed to work on 75+ complex FERC cases with local and state agencies, including Minnesota Municipal Power Agency, Rochester Public Utility, Northern States Power, City of Sioux Falls, Wisconsin Public Service – Xcel Energy, Inc., Massachusetts Wholesale Electric Corporation, Long Island Power Authority, Southern California Edison, CPV Power, Longview Power, Allegheny Power, Dominion Power, and Toledo Bend.
- Trained and coached staff, Federal departments, FERC staff, Energy Bar Association Members, and regulated entity personnel on negotiation, mediation advocacy, multiparty mediation, and conflict resolution.
- Facilitated the Large Generator Interconnection Agreement with 100+ entities, mediating a capacity market auction for Independent System Operator across 14 Mid-Atlantic states, including 65 in the District of Columbia.
- Resolved a flooding dispute involving downstream property owners, dam owners and operators, local elected officials, and the Federal Emergency Management Agency [FEMA].
- Stepped into a deregulated telecommunications industry to drive the adoption of Alternative Dispute Resolution [ADR] methodologies to settle federal contracting disputes; signed 24 agencies across US military bases, resulting in ADR becoming part of the Contract Disputes Act.
- Pivoted to support a deregulated natural gas and energy sector to drive ADR implementation and compliance postsuccess in the telecommunications industry.

EARLIER: Assistant General Counsel, DoD Telecommunications Supply & Service Contracts: Continental Telephone, Inc.

EDUCATION

Juris Doctor [JD]: Antioch University School of Law

Bachelor of Business Administration [BBA], Organization Design: Adelphi University

TRAINING

Post Graduate Law Courses - Government Contract; Employment Law: George Washington University Law Center

Post Graduate Law Courses - Negotiation; Mediation; Advanced Mediation: Harvard Law School

Arbitration Training: American Arbitration Association, The Chartered Institute of Arbitrators [Certified Member], New York Stock Exchange [NYSE], National Arbitration Forum, Construction Dispute Resolution Services

Advanced Training: The Center for Dispute Settlement, Mediation & Advanced Mediation Training

Multiparty Mediation & Public Policy Facilitation: CDR Associates

PAST PROFESSIONAL AFFILIATIONS

Chairman, Dispute Resolution Section: The Maryland State Bar Association

Co-Chairman, Committee on Federal ADR Confidentiality, Collaboration, Consensus Building: American Bar Association

Chairman, Alternative Dispute Resolution Committee: The Energy Bar Association

Panelist - Energy Mediators & Arbitrators: The International Center for Conflict Prevention and Resolution

Panelist: American Arbitration Association Large Commercial Complex and Energy Panel

Arbitration Panelist: The New York Stock Exchange Arbitration Panel

Member: Creative Dispute Resolution Services of Maryland

Member: Chartered Institute of Arbitrators